

MAR 25 2002

PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

Approved for use through 10/07/2022. GPO:2007-083.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 6

Complete if Known	
Application Number	09/974,936
Filing Date	10/10/2001
First Named Inventor	Steven J. Visco
Art Unit	2812 1748
Examiner Name	Unassigned KAC/EM
Attorney Docket Number	1405

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Date
Considered

5/16/03

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¹ Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number 09/974,936 Filing Date October 10, 2001 First Named Inventor Steven J. Visco Group Art Unit 2812-1745 Examiner Name Unassigned KACHT Attorney Docket Number 1B-1905	
Sheet	2	of	X 6		

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
JK	5314765			Bates	05/24/1994	
JK	5338625			Bates et al.	08/16/1994	
JK	5342710			Koksbang	08/30/1994	
JK	5455126			Bates et al.	10/03/1995	
JK	5512147			Bates et al.	04/30/1996	
JK	5567210			Bates et al.	10/22/1996	
JK	5569520			Bates	10/29/1996	
JK	5597660			Bates et al.	01/28/1997	
JK	5612152			Bates	03/18/1997	
JK	5648487			Beriger et al.	07/15/1994	
JK	6025094			Visco et al.	02/15/2000	
JK	5670270			Wallin	09/23/1997	
JK	5480739			Kawasaki et al.	01/02/1996	
JK	5589285			Cable et al.	12/31/1996	
JK	5824434			Kawakami et al.	10/20/1998	
JK	5814420			Chu	09/29/1998	
JK	5686201			Chu	11/11/1997	
JK	5538814			Kamauchi et al.	07/23/1996	

FOREIGN PATENT DOCUMENTS

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Signature**

J. K. L.

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Sheet 3 of 16

Form 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.

IB-1405

Applicant:

Jacobson, et al.

Filing Date

October 10, 2001

Application No. 7746

09/974,936

11/26/2002

TC 2807 95

Group 2141 ROOM

Not yet assigned

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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
OK	A1	5,328,779	07/12/94	Tannenberger, et al.	929	32	12/13/98
OK	A2	5,366,770	11/22/94	Wang	305	477	9/13/93
OK	A3	5,670,270	09/23/97	Wallin			11/16/95
OK	A4	5,932,368	08/03/99	Batawi, et al.			1/10/97
OK	A5	5,993,986	11/30/99	Wallin, et al.			4/30/97

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
OK	B1	Fujii, K., et al., "Manufacturing and Characterization of Metallic System Support Tube for Solid Oxide Fuel Cells", Bulletin of the Electrotechnical Laboratory, Vol. 62, No. 1-2, 1998, pp. 1319 (Month unknown)
OK	B2	Momma, et al., "High Potential Performance of Tubular Type SOFC Using Metallic System Components", Electrotechnical Laboratory, Electrochemical Proceedings Volume 97-40, June 1997, pp. 310-321.
OK	B3	Okuo, et al., "Development of Metallic Substrate Tubular SOFC", Electrotechnical Laboratory, October 1994, pp. 908-919. (Month unknown)
OK	B4	Okuo, T., et al., "Improvement in Power Stability and Durability Demonstration on New Tubular Type SOFC Using Metallic System Component", Vol. 60, No. 5, 1996 pp. 1-9. (Month unknown)
OK	B5	Schiller, G., et al., "Development of Metallic Substrate Supported Thin-Film SOFC by Applying Plasma Spray Techniques", Electrochemical Society Proceedings Vol. 99-19, October 1999, pp. 892-903. (Month unknown)
OK	B6	Schiller, G., et al., "Development of Plasma Sprayed Components for a New SOFC Designs", Electrochemical Proceedings Vol. 97-40, October 1999, pp. 634-645. (Month unknown)
OK	B7	Schiller, G. et al., "Development of SOFC Components by Vacuum Plasma Spraying", 1998, pp. 515-518. (Month unknown)
OK	B8	Takeno, et al., "Development of Metallic Substrate Supported Planar SOFC at Fuji Electric", Fuel Cell Seminar Abstracts, November 1998, pp. 84-87. (Month unknown)
OK	B9	Unal, et al., "Microstructures of Y_2O_3 -Stabilized ZrO_2 Electron Beam-Physical Vapor Deposition Coatings on Ni-Base Superalloys", Department of Materials Science and Engineering, Vol. 984-92, 1994, pp. 984-992. (Month unknown)
OK	B10	Wallin, et al., "Cofired Solid Oxide Fuel Cells for Operation at 800°C", 1997, Abstract (Month unknown)

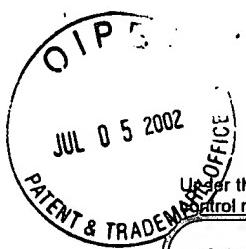
Examiner

Date Considered

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Serial number

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Sheet 5 of 5

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	5	of	146
		Attorney Docket Number	IB-1405
		Application Number	09/974,936
		Filing Date	10/10/2001
		First Named Inventor	Craig P. Jacobson
		Art Unit	2812-1745
		Examiner Name	not yet assigned KAZARI

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Examiner Signature		Date Considered	5/16/03
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	1	of	6
Application Number 09/974,936 Filing Date 10/10/2001 First Named Inventor Craig P. Jacobson Group Art Unit 2812-1745 Examiner Name not yet assigned KALAN Attorney Docket Number IB-1405			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	5/16/03
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